

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Version 6.0

## User Selection Criteria

**Handler ID:** NYD000824482

**Evaluation Date Range:** From: 10/01/1990 To: 11/06/2020

**Only Evaluations With Violations:** No

## Report Results

**Number of Handlers:** 1

**Number of Pages:** 13

## Report Description

This report provides a complete listing of evaluation, violation and enforcement activities for each handler, including all orphan records. Below the handler id information, the data is presented in three sections; evaluations, violations and enforcements. Notes are provided in each respective section.

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 2

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY

County **NIAGARA / NY063**

**NYD000824482**

**Location** 4700 BUFFALO AVE, P O BOX 344, NIAGARA FALLS, NY 14302

**Region:** 02

**Mailing:** 4700 BUFFALO AVE, P O BOX 344, NIAGARA FALLS, NY 14302

Activity	NY	State District: NYSDEC R9	Accessibility	Non-	Extract Flag: Y	Active Site: Y
Generator:	LQG	Operating TSDF: -----	Offsite Receiver: N	State Unaddressed SNC: N	EPA Unaddressed SNC: N	
Short Term Generator:	N	Transporter: N	IC in Place: Y	State Addressed SNC: N	EPA Addressed SNC: N	
Full Enforcement:	----H	Transfer Facility: N	EI Indicator (HE/GW): + / +	State SNC w/Comp Sched: N	EPA SNC w/Comp Sched: N	
CA Wrkld:	Y	Converter: -----	HSM: NN			
Active State Gen:	Y	State TSDF: -----	Subpart K: ----			

<b>CEI Evaluation</b>	10/25/2018	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYNSL	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	10/25/2018	Focus Area:	
Eval. Notes:	lqg												

**No Linked Violations**

<b>CEI Evaluation</b>	08/08/2017	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	08/08/2017	Focus Area:	

**Violation** Activity Location: NY Type: 273.B Determined Date: 08/08/2017 Determined By Agency: EPA Responsible Agency: EPA  
Scheduled Compliance Date: Actual Compliance Date: 08/08/2017 RTC Qualifier: OBSERVED Sequence Number: 14

Citation	Seq #	Type	Citation	Notes
	1	SR	374-3.2(e)	

**No Linked Enforcements**

<b>CEI Evaluation</b>	07/22/2015	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYKHE	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	07/22/2015	Focus Area:	
Eval. Notes:	LQG and Permit for Corrective Action												

**No Linked Violations**

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

NYD000824482

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 3

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>FRR Evaluation</b>	06/15/2012	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2NR	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	06/15/2012	Focus Area:	
No Linked Violations													

<b>CEI Evaluation</b>	11/16/2011	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYTRN	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	11/16/2011	Focus Area:	
Eval. Notes: TSDF & LQG													
No Linked Violations													

<b>FRR Evaluation</b>	09/26/2011	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2NR	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/26/2011	Focus Area:	
Eval. Notes: minor discrepancies found in financial test submitted by the company - no impact on passing the corporate guarantee													
No Linked Violations													

<b>CEI Evaluation</b>	02/17/2011	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYALS	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	02/17/2011	Focus Area:	
Eval. Notes: LQG TSDF													
No Linked Violations													

<b>OAM Evaluation</b>	09/23/2010	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/23/2010	Focus Area:	
No Linked Violations													

<b>NRR Evaluation</b>	04/02/2010	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	04/02/2010	Focus Area:	
No Linked Violations													

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NYD000824482

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 4

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>NRR Evaluation</b>	01/03/2010	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	RBE	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	01/03/2010	Focus Area:	
<b>No Linked Violations</b>													

<b>NRR Evaluation</b>	04/06/2009	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	04/06/2009	Focus Area:	
<b>No Linked Violations</b>													

<b>CEI Evaluation</b>	03/11/2009	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYALS	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	03/11/2009	Focus Area:	
Eval. Notes:	TSD												
No Linked Violations													

<b>NRR Evaluation</b>	04/01/2008	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	04/01/2008	Focus Area:	
<b>No Linked Violations</b>													

<b>CEI Evaluation</b>	09/28/2007	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYALS	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/28/2007	Focus Area:	
Eval. Notes:	TSD												
No Linked Violations													

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

NYD000824482

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 5

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>FCI Evaluation</b>	09/13/2007	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2OSK	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/13/2007	Focus Area:	DOS
Eval. Notes:	Occidental Chemical Corp manufactures chlorine, sodium hydroxide, hydrogen, and a fire retardant called decolorane plus. The facility, an LQG and TSD, was targeted because info obtained from EPA HQ indicated the presence of a RCRA surface impoundment but noted that it was a protective filer, and we wanted to determine whether hazardous wastes might occasionally be among the inputs to the SI. The facility once had two lagoons that were used to settle calcium fluoride as part of a WWTP, but The lagoons were closed in the early 90s. The lagoon area is now part of the S Area Remediation being overseen by NYSDEC.												

No Linked Violations

<b>GME Evaluation</b>	05/16/2007	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	05/16/2007	Focus Area:	

No Linked Violations

<b>NRR Evaluation</b>	04/02/2007	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYACH	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	04/02/2007	Focus Area:	
Eval. Notes:	Groundwater Annual Report												

No Linked Violations

<b>CEI Evaluation</b>	01/17/2006	Activity Location:	NY	By:	STATE	Identifier:	030	Person:	NYALS	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	TSD Thank You Letter sent on 01/27/06.												

No Linked Violations

<b>NRR Evaluation</b>	04/09/2004	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYWWZ	Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	Groundwater Annual Report												

No Linked Violations

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NYD000824482

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 6

\*\*\* WARNING \*\*\* Sensitive information may be displayed on this report. \*\*\* WARNING \*\*\*

## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>CEI Evaluation</b>	02/27/2004	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYBRI	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: TSD Thank You Letter sent on 03/12/04.													

No Linked Violations

<b>CEI Evaluation</b>	08/29/2002	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYRFR	Suborganization:	R8	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: No violations observed during inspection. Thank You Letter sent on 09/18/02.													

No Linked Violations

<b>SNN Evaluation</b>	11/15/2001	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYBKP	Suborganization:	WES	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

No Linked Violations

<b>CEI Evaluation</b>	08/22/2001	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

No Linked Violations

<b>CEI Evaluation</b>	08/21/2001	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYRWK	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

No Linked Violations

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Comprehensive Compliance Monitoring and Enforcement Report

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OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344

NIAGARA FALLS NY - continued

NYD000824482

FRR Evaluation	07/17/2001	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYJMI	Suborganization:	CO	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													
No Linked Violations													

SNY Evaluation	03/29/2001	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYBKP	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													
No Linked Violations													

CEI Evaluation	11/03/2000	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYRG9	Suborganization:	R9	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

**Violation**

Activity Location: NYType: 264.O

Scheduled Compliance Date: Determined Date: 11/03/2000

Former Citation:SR - MOD V COND (D)(I)Actual Compliance Date: 11/14/2001

Viol. Notes: FAILURE TO TEST AW FCO

Determined By Agency: STATE

Responsible Agency: STATE

RTC Qualifier: OBSERVED

Sequence Number: 13

Enforcement:	Activity Location: NY	Type: 310	Action Date: 11/14/2001	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYRG9	Suborganization: R9
Penalty Information:	Proposed:	Final Monetary: \$18,000	Collected: \$18,000	Total Final: \$18,000
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
Enf. Notes:				Appeal Resolved:

Enforcement:	Activity Location: NY	Type: 210	Action Date: 07/03/2001	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYRG9	Suborganization: R9
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
Enf. Notes:				Appeal Resolved:

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

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Report run on: November 6, 2020 12:55:07 PM EST

Page 8

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>CEI Evaluation</b>	04/13/2000	Activity Location:	NY	By:	EPA	Identifier:	000	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
No Linked Violations													

<b>CEI Evaluation</b>	04/12/2000	Activity Location:	NY	By:	EPA	Identifier:	000	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: NO VIOLATIONS													
No Linked Violations													

<b>CEI Evaluation</b>	03/31/2000	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYBRI	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: TY 3/15/01													
No Linked Violations													

<b>NRR Evaluation</b>	04/16/1999	Activity Location:	NY	By:	STATE	Identifier:	000	Person:		Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: Groundwater Annual Report													
No Linked Violations													

<b>SNN Evaluation</b>	09/21/1998	Activity Location:	NY	By:	EPA	Identifier:	CV3	Person:		Suborganization:		Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
No Linked Violations													

<b>CEI Evaluation</b>	09/18/1998	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRFR	Suborganization:	R9	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

### Violation

Activity Location: NY  
Type: 264.A  
Scheduled Compliance Date: 11/26/1998

Determined Date: 10/26/1998  
Actual Compliance Date: 03/15/2001

Determined By Agency: STATE  
RTC Qualifier: OBSERVED

Responsible Agency: STATE  
Sequence Number: 12

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

NYD000824482



# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 9

\*\*\* WARNING \*\*\* Sensitive information may be displayed on this report. \*\*\* WARNING \*\*\*

## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

Enforcement:	Activity Location: NY	Type: 120	Action Date: 10/26/1998	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYRFR	Suborganization: R9
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

<b>SNY Evaluation</b>	08/17/1998	Activity Location:	NY	By:	EPA	Identifier:	CNV	Person:		Suborganization:		Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
<b>No Linked Violations</b>													

<b>CEI Evaluation</b>	07/22/1998	Activity Location:	NY	By:	EPA	Identifier:	000	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: RCRA COMPLIANCE EVALUATION AND SUBPART CC COMPLIANCE EVALUATION INSPECTION DONE ON 7/21 AND 7/22, 1998.													
<b>No Linked Violations</b>													

<b>CEI Evaluation</b>	07/21/1998	Activity Location:	NY	By:	EPA	Identifier:	000	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: VIOLATION OF THE AIR EMISSIONS REGULATIONS: NO CAPS ON OPEN ENDED VALVES													

<b>Violation</b>	Activity Location:	NY	Type: 264.O	Determined Date: 08/17/1998	Determined By Agency: EPA	Responsible Agency: EPA
		Scheduled Compliance Date: 09/21/1998		Actual Compliance Date: 09/21/1998	RTC Qualifier: OBSERVED	Sequence Number: 1
		Former Citation:FR - 40CFR265				
	Viol. Notes: NO CAPS ON OPEN ENDED VALVES					

Enforcement:	Activity Location: NY	Type: 120	Action Date: 07/21/1998	Identifier: 000
	Docket:	Agency: EPA	Responsible Person: R2AHJ	Suborganization: RCB
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enf. Notes: NOV ISSUED 08/17/98. FACILITY IN COMPLIANCE				

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NYD000824482

# Comprehensive Compliance Monitoring and Enforcement Report

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Page 10

\*\*\* WARNING \*\*\* Sensitive information may be displayed on this report. \*\*\* WARNING \*\*\*

## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>NRR Evaluation</b>	04/01/1998	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYWWZ	Suborganization:	EGS	Found Violation:	NO
	NOC Date:	Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: Groundwater Annual Report													
<b>No Linked Violations</b>													

<b>CEI Evaluation</b>	03/23/1998	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRWK	Suborganization:	R9	Found Violation:	YES
	NOC Date:	Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

<b>Violation</b>	Activity Location:	NY	Type: 264.O	Determined Date: 05/07/1998	Determined By Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 06/07/1998			Actual Compliance Date: 03/15/2001	RTC Qualifier: OBSERVED	Sequence Number: 11
Enforcement:	Activity Location: NY	Type: 120	Action Date: 05/07/1998	Identifier: 000		
	Docket:	Agency: STATE	Responsible Person: NYRWK	Suborganization: R9		
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:	

<b>NRR Evaluation</b>	02/10/1998	Activity Location:	NY	By:	STATE	Identifier:	000	Person:		Suborganization:	EGS	Found Violation:	NO
	NOC Date:	Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: Groundwater Annual Report													
<b>No Linked Violations</b>													

<b>CEI Evaluation</b>	02/11/1997	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRFR	Suborganization:	R9	Found Violation:	NO
	NOC Date:	Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: NO VIOL TY LET 2/26/97													
<b>No Linked Violations</b>													

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

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# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 11

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

<b>OAM Evaluation</b>	01/15/1997	Activity Location:	NY	By:	STATE	Identifier:	000	Person:		Suborganization:	R9	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

**Violation** Activity Location: NY Type: 264.O Determined Date: 01/15/1997 Determined By Agency: STATE Responsible Agency: STATE  
Scheduled Compliance Date: 11/15/1997 Actual Compliance Date: 02/11/1998 RTC Qualifier: OBSERVED Sequence Number: 10  
Viol. Notes: R CLARK IS CHECKING 4/01

Enforcement: Activity Location: NY Type: 310 Action Date: 02/11/1998 Identifier: 001  
Docket: Agency: STATE Responsible Person: Suborganization:  
**Penalty Information:** Proposed: Final Monetary: \$4,000 Collected: \$4,000 Total Final: \$4,000  
CA Component: N FA Requirement: Disposition Status: Appeal Initiated: Appeal Resolved:  
Enf. Notes: PER MEMO FROM MONICA ABREU

Enforcement: Activity Location: NY Type: 210 Action Date: 08/14/1997 Identifier: 000  
Docket: Agency: STATE Responsible Person: Suborganization: R9  
**Penalty Information:** Proposed: \$9,500 Final Monetary: Collected: Total Final:  
CA Component: N FA Requirement: Disposition Status: Appeal Initiated: Appeal Resolved:  
Enf. Notes: R CLARK IS LOOKING INTO 4/01

<b>NRR Evaluation</b>	10/10/1996	Activity Location:	NY	By:	STATE	Identifier:	000	Person:		Suborganization:	EGS	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	Groundwater Annual Report												

### No Linked Violations

<b>CEI Evaluation</b>	08/14/1996	Activity Location:	NY	By:	EPA	Identifier:	022	Person:	R2AHJ	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	NO VIOLATIONS												

### No Linked Violations

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

NYD000824482

# Comprehensive Compliance Monitoring and Enforcement Report

\*\*\* WARNING \*\*\* Sensitive information may be displayed on this report. \*\*\* WARNING \*\*\*

OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344  
NIAGARA FALLS NY - continued

NYD000824482

CEI Evaluation	03/28/1996	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRBR	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: NO VIOL TY LET 4/2/96													

No Linked Violations

CEI Evaluation	11/22/1994	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYNSL	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: THANK YOU LETTER 1/5/95													

No Linked Violations

CEI Evaluation	10/21/1993	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRBR	Suborganization:	R9	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: THANK YOU LETTER11/12/93													

No Linked Violations

CEI Evaluation	03/29/1993	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRBR	Suborganization:	R9	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

Violation	Activity Location: NY	Type: 264.A	Determined Date: 05/03/1993	Determined By Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 06/03/1993	Actual Compliance Date: 06/21/1993	RTC Qualifier: OBSERVED	Sequence Number: 9	
Enforcement:	Activity Location: NY	Type: 120	Action Date: 05/03/1993	Identifier: 000	
	Docket:	Agency: STATE	Responsible Person: NYRBR	Suborganization: R9	
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:	

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: November 6, 2020 12:55:07 PM EST

Page 13

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT PO BOX 344 NIAGARA FALLS NY - continued

NYD000824482

CEI Evaluation	03/30/1992	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYRBR	Suborganization:	R9	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

**Violation** Activity Location: NY Type: 264.A Determined Date: 08/21/1992 Determined By Agency: STATE Responsible Agency: STATE  
Scheduled Compliance Date: 05/01/1994 Actual Compliance Date: 01/05/1995 RTC Qualifier: OBSERVED Sequence Number: 8  
Viol. Notes: REF TO DEE 8/21/92

Enforcement:	Activity Location: NY	Type: 310	Action Date: 05/23/1994	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYRBR	Suborganization: R9
<b>Penalty Information:</b>	Proposed:	Final Monetary: \$13,000	Collected: \$13,000	Total Final: \$13,000
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

Enforcement:	Activity Location: NY	Type: 210	Action Date: 11/24/1992	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYRBR	Suborganization: R9
<b>Penalty Information:</b>	Proposed: \$26,000	Final Monetary:	Collected:	Total Final:
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

CEI Evaluation	08/29/1991	Activity Location:	NY	By:	EPA	Identifier:	000	Person:		Suborganization:		Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

No Linked Violations

## Enforcement Actions Not Linked to Violations

Enforcement:	Activity Location: NY	Type: 110	Action Date: 08/08/2017	Identifier: 001
	Docket:	Agency: EPA	Responsible Person: R2AHJ	Suborganization: RCB
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

\*\*\* End of Report \*\*\*

NYD000824482